

# Ochratoxin A induces apoptosis in neuronal cells

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**Abstract** The mycotoxin ochratoxin A (OTA), which is produced by *Aspergillus* and *Penicillium* subspecies, is a frequently present contaminant of food and feedstuffs. OTA exhibits a wide range of toxic activities including nephro- and hepatotoxicity. However, little is known regarding potential neurotoxic effects of OTA. In the present study primary neurons as well as SH-SY5Y neuronal cells were incubated with increasing concentrations of OTA (0.1–2.5  $\mu\text{mol/L}$ ). OTA treatment resulted in a dose-dependent increase in cytotoxicity in both neuronal cell types. Caspase-9 and caspase-3 were activated in response to OTA treatment. Furthermore, caspase inhibitors were effective in partly counteracting OTA induced neurocytotoxicity. OTA induced apoptosis was accompanied by a loss of mitochondria membrane potential. Overall, present data indicated that OTA is neurotoxic at relatively low concentrations. OTA induced neurotoxicity seems to be, at least partly, mediated by apoptosis. OTA may contribute to the pathogenesis of neurodegenerative diseases (e.g. Alzheimer's and Parkinson's disease) in which apoptotic processes are centrally involved.

**Keywords** Ochratoxin A · Mycotoxin · Neurons · Apoptosis · Programmed cell death · Neurotoxicity · Alzheimer's disease

## Introduction

The mycotoxin ochratoxin A (chemical structure is given in Fig. 1) is a fungal metabolite produced by several subspecies of the genera *Penicillium* and *Aspergillus*. Their widespread occurrence and the persistence of OTA in the food chain may contribute to a significant OTA exposure to humans [25, 26]. In fact OTA has been frequently found in the human blood [20]. The kidney is the main target tissue of OTA toxicity [10, 19]. In addition to its nephrotoxic effects, hepato-, terato- and immunotoxic activities of OTA have also been reported [18, 24]. OTA has been classified as a putative human carcinogen by the International Agency for Research on Cancer (IARC [14]).

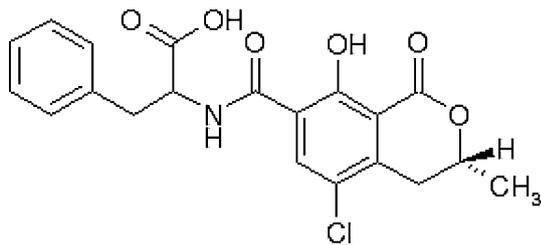
Furthermore, recent findings indicate that OTA may, to some extent, also affect the neuronal system [2, 27]. Studies in rodents suggest that OTA crosses the blood brain barrier and accumulates in most parts of the brain as a function of time and concentration [2, 27, 28]. After administration of a single OTA dose to mice (3.5 mg/kg body weight; i.p.), highest OTA concentrations were measured in the cerebellum (1.7 ng/mg) and the pons (0.7 ng/mg) followed by the cerebral cortex (0.3 ng/mg) [28]. Interestingly, the sites of OTA accumulation and tissue susceptibility towards OTA induced toxicity in the brain did not always correlate. However, the hippocampus, a primary site of neurodegeneration in Alzheimer's disease, turned out to exhibit relatively high OTA levels with concurrently pronounced OTA neurotoxicity [3]. In this context, Sava and coworkers [28] hypothesized that low

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**Fig. 1** Chemical structure of ochratoxin A

level exposure of OTA may exert delayed neurotoxic effects which may in turn contribute to the development of neurodegenerative disorders. Interestingly, OTA has also been shown to cause widespread oxidative stress as measured by an increase in lipid peroxidation and DNA damages in mice brain [27], features that are associated with a number of neurodegenerative disorders including Parkinson's and Alzheimer's disease. However, the underlying molecular mechanisms for OTA neurotoxicity are not fully understood. The present study in cultured neurons aimed at investigating whether OTA induced neurotoxicity may be mediated by apoptosis.

## Materials and methods

### Cell culture

SH-SY5Y neuroblastoma cells were routinely cultured in RPMI 1640 medium supplemented with 5% fetal bovine serum, 5 mmol/L glutamine, 1 mmol/L MEM sodium pyruvate, 1% MEM non-essential amino acids, 1% MEM vitamins, with 100 IU/mL penicillin and 100 µg/mL streptomycin (all from PAA, Pasching, Austria) under standard conditions (37°C, humidified 5% CO<sub>2</sub> atmosphere). Cells were subcultured every 4–5 days after reaching 80% confluence and seeded at an initial density of  $6.3 \times 10^4$  cells/cm<sup>2</sup> in 24-well and 6-well plates for further experiments.

Primary rat cortical neuronal cell culture was carried out as previously described with minor modifications [9, 32]. Briefly, neonatal Wistar rats were sacrificed; cortices were separated on ice and cut into pieces prior digestion in 0.25% trypsin for 15 min at 37°C. Cortical tissue lysate was then passaged through a series of fire-polished pipettes to get a single cell suspension. After centrifuging, cells were resuspended in Neurobasal medium supplemented with 2% B27 (Invitrogen, Carlsbad, CA, USA), 100 IU/mL penicillin, 100 µg/mL streptomycin and seeded at  $1 \times 10^5$  cells/cm<sup>2</sup> in poly-D-lysine (Sigma, Deisenhofen, Germany) coated plates. Culture medium was refreshed every 2–3 days and cells were kept under standard conditions 7 days before further treatment.

Ochratoxin A (obtained from Sigma) was dissolved in methanol (5 mmol/L stock solution) and further diluted in culture medium before use. Cells were treated with 0.1, 0.25, 0.5, 1.0 and 2.5 µmol/L of OTA in serum or B27 free medium as described above prior to the neutral red assay or cell collection.

Control cells were supplemented with 0.1% methanol as a vehicle control. In the caspase inhibitor experiments, Z-VAD-fmk and Z-DEVD-fmk (R&D system Inc., MN, USA) were dissolved in phosphate buffered saline (PBS) at 20 mmol/L and were further diluted by corresponding serum or B27 free medium to working concentrations. Cells were pre-incubated with Z-VAD-fmk or Z-DEVD-fmk for 24 h followed by a 24 h exposure with OTA without Z-VAD-fmk or Z-DEVD-fmk. After that, cell viability was determined by the neutral red assay. For DNA laddering, cells were treated with 0.1, 0.25, 0.5, 1.0, 2.5 and 5.0 µmol/L of OTA for 48 h in serum or B27-free medium before collection.

### Neutral red assay

Cell viability was assessed by the neutral red assay as described previously [7]. Briefly, cells, after treatment with OTA, were washed with PBS. New medium supplemented with 50 µg/ml neutral red was added and incubated for 1.5 h. Subsequently, neutral red medium was removed and the incorporated neutral red dye was extracted from the cells using bleaching solution (50% ethanol, 49% distilled water and 1% acetic acid). The absorbance was read at 540 nm using a plate reader (Labsystems, Helsinki, Finland) and cell viability expressed as percent viability compared to control cells (vehicle treated cells).

### DNA laddering

Following OTA treatment for 48 h, cells were rinsed twice with PBS and collected by centrifuging at  $1,500 \times g$  for 5 min. Cell pellets were lysed in 10 mmol/L Tris-HCl buffer (0.1 mol/L NaCl, 10 mmol/L EDTA, 0.5% SDS, 500 µg/mL proteinase K, pH 7.5) and incubated at 50°C for 3 h. The same volume of chloroform was added and the samples were centrifuged to separate phases. Then, the upper phase was mixed with 50 µl of 3 mol/L sodium acetate and methanol to precipitate the DNA. DNA was obtained by centrifuging at 12,000 rpm for 30 min at 4°C, following a washing step with 75% ethanol. The DNA pellet was dissolved in RNase containing buffer (10 mmol/L Tris-HCl, 1 mmol/L EDTA, 200 µg/mL RNase (RNase cocktail; Ambion, Austin, TX, USA), pH 8.0) and incubated for 30 min at 37°C. 10 µg of total DNA was loaded for agarose gel electrophoresis. DNA ladders were visualized under UV light and images were taken using a

Bio-Rad ChemiDoc system (Bio-Rad Laboratories, Hercules, CA, USA). Lyophilized apoptotic U937 cells in which apoptosis was induced by camptothecine were purchased from Roche Applied Science (Roche Diagnostics GmbH, Mannheim, Germany) and used as positive controls.

#### Western blotting

Following OTA treatment, cells were harvested and lysed in RIPA buffer (50 mmol/L Tris-HCl, 150 mmol/L NaCl, 0.5% sodium deoxycholate, 0.1% SDS, 1% NP-40, 20 mmol/L EGTA, 1 mmol/L DTT, 20 mmol/L NaF, 1 mmol/L sodium vanadate, proteinase inhibitor cocktail). Cell lysates were purified by centrifugation (4°C, 14,000×g, 30 min) and the protein concentration determined using BCA Protein Assay Kit (Pierce, IL, USA). 40 µg of total protein was separated on a 12% SDS/polyacrylamide gel followed by protein transfer to a PVDF membrane and blocked in 5% non-fat milk in Tris-buffered saline (TBS). Primary antibodies were added in TBS with 5% non-fat milk: anti-caspase 3 and anti-caspase 9 (each 1:500; Cell Signaling Technology, Inc. Danvers, MA, USA), anti-caspase 8 (1:800; Millipore, Schwalbach, Germany), anti-β-actin (1:1,000; Santa Cruz Biotechnology) and incubated over night at 4°C. The blots were washed and incubated with the secondary antibody (anti-mouse horseradish peroxidase; Santa Cruz Biotechnology) in TBS with 5% non-fat milk (1:5,000). The blots were exposed to Immun-Star Western Chemiluminescent Kit (Bio-Rad) and scanned using a ChemiDoc system (Bio-Rad). Digital images were captured and quantified using the Quantity One system. Relative concentrations of target proteins were quantified as the ratio between the amount of target protein and the amount of the house-keeping protein β-actin. We have checked the molecular weight of all proteins detected by Western blotting using molecular weight markers.

#### Mitochondrial membrane potential assessment by JC-1 staining

To assess the mitochondrial membrane potential ( $\Delta\Psi_m$ ), JC-1 staining was used. JC-1 is a cationic dye that exhibits membrane potential-dependent accumulation in mitochondria, as indicated by a fluorescence emission shift from green ( $\lambda$  525 nm) to red ( $\lambda$  610 nm). Mitochondria depolarization is specifically indicated by a decrease in the red to green fluorescence intensity ratio [34]. Following OTA treatment cells were incubated with JC-1 following the manufacture's protocol of the JC-1 staining kit (Sigma). Valinomycin treated cells were taken as positive control. Fluorescent images were taken by fluorescence microscopy (Carl Zeiss MicroImaging GmbH, Hamburg, Germany).

#### Statistical analysis

Results are expressed as mean with standard deviation (SD) of three to four independent passages performed in duplicate or triplicate. Statistical analysis was carried out with SPSS Version 15.0. Normally distributed data were compared via *t* test; otherwise, the non-parametric Mann-Whitney *U* test was used.

## Results

#### OTA induced cell death in SH-SY5Y cells and primary neurons

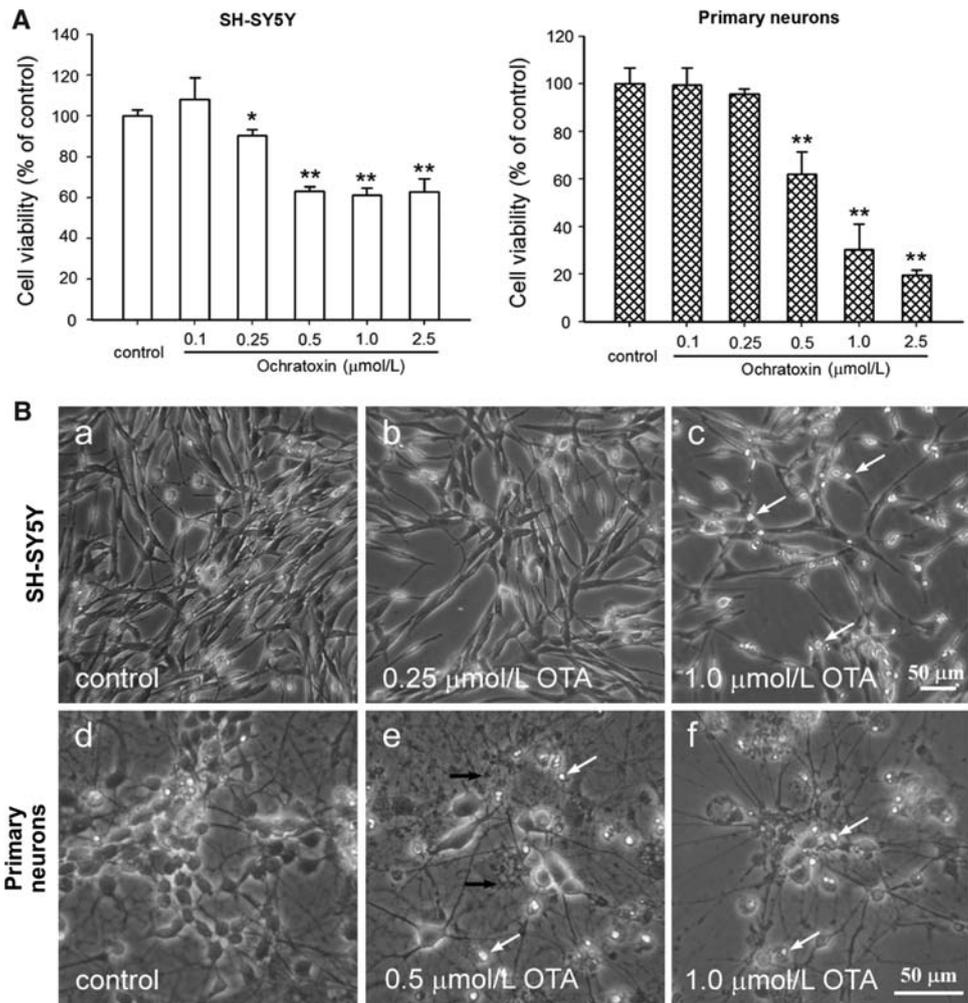
OTA exposure of both SH-SY5Y cells and primary neurons for 24 h resulted in a significant decrease of cell viability in a concentration-dependent manner. As shown in Fig. 2A, primary neurons and SH-SY5Y did react differently in response to the OTA treatment. In fact, increasing the amount of OTA from 0.5 to 2.5 µmol/L did not decrease the viability of SH-SY5Y but further decreased the viability of primary neurons indicating a higher susceptibility of primary neurons towards the OTA treatment.

Morphological changes of the cells in response to the OTA treatment were observed by light microscopy. As shown in Fig. 2B, after 1.0 µmol/L OTA exposure, fewer SH-SY5Y cells were attached to the culture plate surface and more detached and dead cells could be observed (white arrows). In primary neurons, untreated control cells exhibited large vacuole-free cell bodies with elaborate networks of neurites. Exposure to OTA lead to, besides the decrease in cell density, less neurites and some tubercles (black arrows), which is a typical phenomenon of neuronal injury.

#### Caspase dependent apoptosis in SH-SY5Y and primary neurons induced by OTA

In order to elaborate on the mechanisms of OTA mediated cytotoxicity, a DNA fragmentation assay was conducted in SH-SY5Y cells after 48 h of OTA treatment. As shown in Fig. 3A, a concentration-dependent DNA laddering could be found in OTA treated cells, indicating that the majority of cells may undergo apoptosis rather than necrosis. Furthermore, since caspases play an important role in apoptosis, caspase-8, -9 and -3 protein levels were determined by Western blotting. Figure 3B indicates that caspase-9 and caspase-3 were activated following OTA treatment in both primary neurons and SH-SY5Y cells while caspase-8 remained largely unchanged in response to OTA treatment (data not shown). In primary neurons the

**Fig. 2** Viability and morphology of SH-SY5Y cells and primary neurons after 24 h incubation with OTA. **A** Cells were treated with various concentrations of OTA (0.1–2.5  $\mu\text{mol/L}$ ) and cell viability was determined by the neutral red assay. Data are expressed as mean with SD ( $n = 3$ ). \* $P < 0.05$ ; \*\* $P < 0.01$  versus control. **B** Microphotography was performed after OTA incubation. **a** Vehicle control, **b** 0.25  $\mu\text{mol/L}$  OTA, and **c** 1.0  $\mu\text{mol/L}$  OTA in SH-SY5Y cells; **d** vehicle control, **e** 0.5  $\mu\text{mol/L}$  OTA and **f** 1.0  $\mu\text{mol/L}$  OTA in primary neurons. *White arrows* indicate insulted and detached cells, *black arrows* indicate tubercles in neuritis (Bar = 50  $\mu\text{m}$ )



activation of caspase-3 seems to follow a bell-shaped curve peaking at 1  $\mu\text{mol/L}$  OTA. In contrast, caspase-3 activation in SH-SY5Y cells appears to be rather dose-dependent. In order to study whether OTA exposure leads to cell death via a caspase dependent pathway, two caspase inhibitors, Z-VAD-fmk (pan caspases inhibitor) and Z-DEVD-fmk (caspase-3 specific inhibitor) were used in the presence of OTA. As shown in Fig. 3C and D, both Z-VAD-fmk and Z-DEVD-fmk were effective in preventing OTA induced cell toxicity. Taken together, present data suggest that OTA leads to a caspase dependent apoptosis in both SH-SY5Y cells and primary neurons.

#### Effect of OTA on the mitochondria membrane potential ( $\Delta\Psi\text{m}$ )

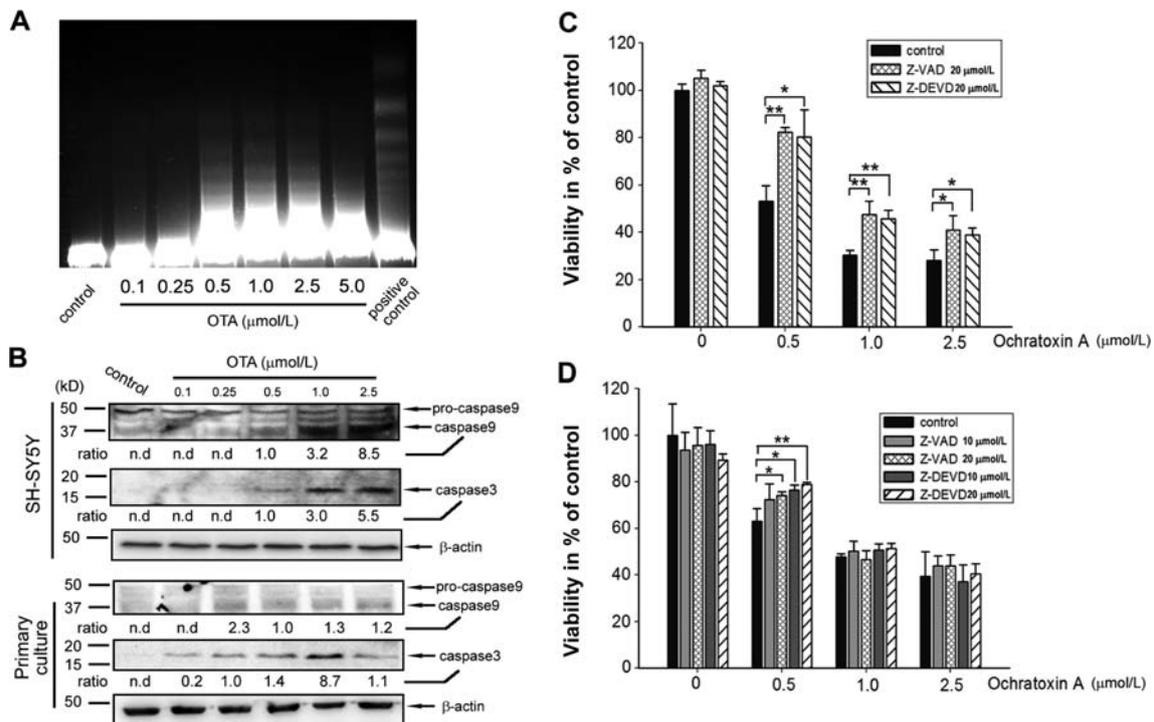
Since mitochondria are centrally involved in programmed cell death, the effect of OTA on the mitochondria membrane potential was studied. Loss of  $\Delta\Psi\text{m}$ , which is indicative for mitochondria dysfunction, leads to cytochrome c release from the inner mitochondria membrane

which in turn triggers other apoptotic factors including caspases. JC-1 is a  $\Delta\Psi\text{m}$  specific probe which indicates loss of  $\Delta\Psi\text{m}$  by changing fluorescence emission from red to green. As shown in Fig. 4, after 8 h OTA exposure, a time point when no cellular morphology changes could be observed, most control cells fluoresced red, while OTA treated cells turned green in an OTA concentration dependent manner in SH-SY5Y cells and primary neurons.

#### Discussion

Although the neurotoxic potential of OTA has been recognized [22, 29], the molecular mechanisms underlying its neurotoxicity have not yet been fully elucidated. Therefore the present study aimed to further characterize the impact of OTA on biomarkers of apoptosis and mitochondria membrane potential in neuronal cells in culture.

We have used primary neurons as a model since they represent an established model in neuroscience in order to study the regulation of apoptotic processes in neurons



**Fig. 3** OTA induced apoptosis in SH-SY5Y cells and primary neurons by caspase activation. **A** OTA induced DNA fragmentation in SH-SY5Y cells. Cells were exposed to increasing OTA concentrations for 48 h, lyophilized apoptotic U937 cells were taken as positive control. **B** OTA induced caspase-9 and caspase-3 activation both in SH-SY5Y cells and primary neurons after 24 h incubation. Caspase-9 and caspase-3 were determined by Western Blot analysis. Densitometric ratio of caspase-9 and caspase-3 to  $\beta$ -actin was

under defined experimental conditions. However, it needs to be taken into account that the neurons used in our study as well as in many other studies reported in the literature were isolated from rat brain [22, 35]. In order to extrapolate our data also to humans we have decided to work in addition with SH-SY5 cells which are of human origin.

In the present study OTA exhibited neurotoxicity in SH-SY5Y cells and primary neurons at relatively low concentrations of 0.25 and 0.5  $\mu\text{mol/L}$ , respectively. This concentration is comparable to our previous cytotoxicity experiments in hepatocytes [13] indicating that neurons may be equally susceptible towards OTA induced cell death like hepatocytes. However, in comparison to our experiments in kidney tubulus cells [5, 6] neurons may be more susceptible to OTA than kidney cells.

Although OTA concentrations used in the present study under serum-free conditions were relatively low, it needs to be taken into account that these OTA concentrations are still higher than those concentrations detected in human and animal plasma. For example, OTA blood levels in

calculated; *nd* = non-detectable). **C, D** Caspase inhibitors Z-VAD-fmk and Z-DEVD-fmk partly attenuated OTA induced cell death. Z-VAD-fmk and Z-DEVD-fmk were pre-cultured with SH-SY5Y and primary neurons for 24 h, followed by another 24 h incubation with OTA. Cell viability was then determined by the neutral red assay. \* $P < 0.05$ ; \*\* $P < 0.01$  versus control (without Z-VAD-fmk or Z-DEVD-fmk,  $n = 4-5$ )

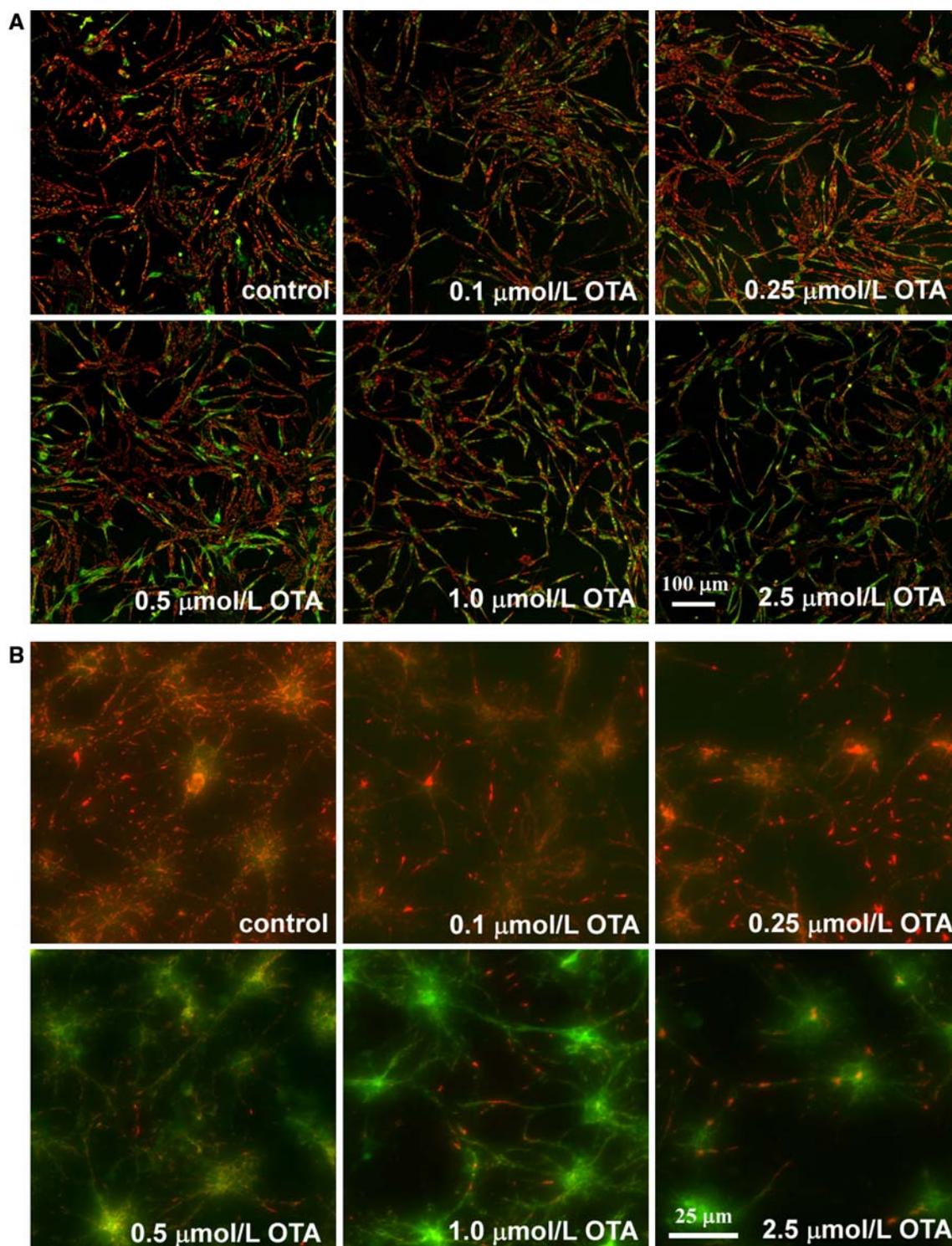
healthy humans from European countries chronically exposed to OTA range between 0.45 and 2.95 nmol/l [20].

Previous studies have demonstrated that OTA crosses the blood brain barrier [3, 28] and exhibits neurotoxicity in laboratory rodents [27]. Our data suggest that OTA induced neurotoxicity is, at least partly mediated, by apoptosis. We have shown that both, caspase-9 and caspase-3, were activated in response to OTA treatment.

Furthermore, caspase inhibitors were effective in partly counteracting OTA induced neurocytotoxicity at low OTA concentrations but they were ineffective in restoring cell viability at the highest OTA concentrations (1 and 2.5  $\mu\text{mol/L}$ ) used in our SH-SY5Y cell culture model. Thus neurotoxicity triggered by OTA is probably not only mediated by caspase-3 activation.

In accordance to our OTA data, fumonisin B<sub>1</sub> (FB<sub>1</sub>) [31] as well as trichothecene mycotoxins [15] have been demonstrated to induce apoptosis in cultured neurons.

Since in our study OTA induced apoptosis was accompanied by a loss of mitochondria membrane potential and Fas receptor regulated caspase-8 remained unchanged, it is



**Fig. 4** Mitochondria membrane potential  $\Delta\Psi_m$  assessment of SH-SY5Y cells (A) and primary neurons (B) after 8 h OTA treatment by JC-1 staining. Changes in mitochondria membrane potential  $\Delta\Psi_m$

are indicated by changes in the red to green fluorescence ratio (In SH-SY5Y cells, *bar* = 100  $\mu\text{m}$ ; in primary neurons, *bar* = 25  $\mu\text{m}$ )

likely that OTA induced cell death is mitochondria dependent. These findings are in line with current results [8] which emphasize a central role of the mitochondria in OTA induced caspase dependent apoptosis in liver cells.

Studies are warranted to investigate the effect of OTA on cytochrome c release and members of the Bcl-2 family in neurons. Furthermore studies regarding the effect of OTA on the action of protein kinases such as the

phosphoinositide-3-kinase/Akt and mitogen-activated protein kinase, which are critical in regulating apoptotic signal transduction cascades are warranted [33].

Finally the triggering signal of OTA induced apoptosis in neuronal cells needs to be characterized. In vitro and in vivo data suggest an involvement of oxidative stress in OTA-mediated cytotoxicity [1, 4, 16, 17, 23, 30]. In fact, we observed in kidney tubulus cells a significant increase in reactive oxygen species production after OTA treatment already at relatively low OTA concentrations (0.5–2.5  $\mu\text{mol/L}$ ) [5] which were similar to those concentrations we used in the present study in neurons. Our group has recently shown that the activities of the redox-regulated transcription factors Nrf2 and AP-1 are impaired by OTA [5, 6]. Nrf2 is a basic leucine zipper transcriptional activator essential for the coordinated transcriptional induction of antioxidant and xenobiotic metabolizing enzymes [21]. Importantly, OTA significantly decreased gamma-glutamylcysteinyl synthetase levels in kidney tubulus cells via a Nrf2 dependent mechanism [6]. Gamma-glutamylcysteinyl synthetase is the rate limiting enzyme of glutathione synthesis and depletion of cellular glutathione often leads to apoptosis [11].

Overall current data indicate that OTA induces programmed cell death both in SH-SY5Y cells and in primary neurons. Taken into account that many neurodegenerative diseases including Alzheimer's and Parkinson's disease are related to neuronal cell death and that the central nervous system tissue has limited regenerative capacity [12], it is of importance to limit the potential damage caused by ochratoxin A and other mycotoxins, due to a reduction of their occurrence in the food chain.

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